



# RSM NETHERLANDS CONSULTANCY WEBINAR

## MANAGING TAX INTEGRITY RISKS AT CORPORATE SERVICE PROVIDERS

July 1, 2020 @11:00-12:00

# Agenda

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- **Herman Annink : Introduction**
  - Introduction of RSM
  - Introduction of the speakers
  - What is the challenge?
- **Aike van der Staay : Regulatory Landscape**
  - What is expected by the regulator?
- **Herman Annink : Implementation of regulatory requirements regarding tax integrity risk management**
  - How to deal in a proper way with Tax integrity risk in line with Wtt and DNB expectations?
  - Interrelationship between, SIRA, IRA, policy, procedures, measures and risk appetite
  - How Tax audit helps?
- **Jaap van Dijk : 3 Case Studies**
  - Presentation of three anonymized cases
  - Comments on the group structures
  - Transaction monitoring and the use of expected transaction patterns
  - Tips to help trust offices to make the right call
- **Mario van den Broek : The Tax Angle**
  - How is the Tax Landscape changing?
  - DAC6-Mandatory Disclosure requirements
  - Substance requirements and valid economic reasons behind a structure
- **Q&A (10 minutes)**

# Moderator/Speaker



**Herman Annink**  
Director

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## Background

- Joined RSM (Philip Sidney) in 2007

## Area of expertise

- Audit, banking & insurance, compliance and integrity, risk management, AML/CTF, sanctions & export controls, governance, financial risk management, training & awareness, project management

## Experience

- Dutch Central Bank (integrity supervision, control), Garantibank (internal audit), EY (external audit), NIDV, IFFC, FCI

- Herman is experienced in applying, organizing and auditing compliance, risk management and governance. His extensive legal expertise finds expression in the way he manages to translate laws and regulations into practical agreements and activities.
- He is an economist and Certified Public Auditor with more than 25 years of experience in the financial industry. He has expert knowledge on the application and organization of compliance audit matters with multilateral agreements, UN, EU, US and Dutch banking regulations, security regulations, AML / CTF, sanctions and export control regulations and good practices in the international financial industry.
- At RSM, Herman focuses on Corporate Service Providers, financial institutions and Aerospace and Defense industry.

# Speakers



**Aike van der Staay**  
External Consultant

T +31 (0)6 2003 9399  
E [aghvanderstaay@signoftrust.nl](mailto:aghvanderstaay@signoftrust.nl)

## Background

- Works in cooperation with RSM since 2019

## Area of expertise

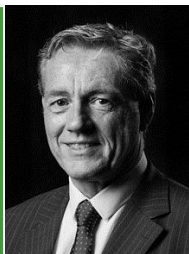
- Trust Offices Supervision Act 2018, Money Laundering and Terrorist Financing Prevention Act, Integrity and controlled business operations in a broad sense

## Experience

- Signum Consultancy, De Nederlandsche Bank, PwC Legal Services

- Aike has more than 15 years of experience with the regulations that apply to the trust sector. She has been active in this area since the introduction of the Trust Offices Supervision Act.
- She knows the trust sector from different angles: as an advisor, as a supervisor during the period she worked at DNB, and from her experience in the international corporate advisory practice of PwC Legal Services.
- Since the introduction of the audit function for trust offices, Aike has specialized in filling the internal audit function at various trust offices.
- Aike works together with RSM in the field of the internal audit function at trust offices.

# Speakers



**Jaap van Dijk**  
Director

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## Background

- Joined RSM in 2015

## Area of expertise

- Compliance, corporate finance, real estate, governance, sanctions and export controls, financial economic crime

## Experience

- DSB Bank, SNS Bank, SNS Property Finance, Philips, Ministry of Finance

- Jaap has extensive experience as CFO, CFRO and CEO with two financial institutions and has worked in various sectors and businesses enabling him to take a helicopter view and may consider issues from multiple perspectives.
- Close interaction with external regulators and supervisors was part of his work. Jaap, therefore, knows how to keep a fine balance between what is explicitly required by the regulatory authorities and its translation into practicable yet effective solutions.
- At RSM, Jaap has been supporting many financial institutions, corporate service providers and corporates in the areas of governance, risk and compliance.

# Speakers



**Mario van den Broek**  
Partner

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E MvdBroek@rsmnl.nl

## Background

- Joined RSM in 2007

## Area of expertise

- Tax & Business Consulting, International Tax Structuring, Thought Leader in BEPS and Tax Transparency & Integrity related matters

## Experience

- Grant Thornton, PWC

- Mario has over 20 years of international tax and consulting experience. Mario heads up the International Services Practice of RSM, has strong EU tax knowledge and serves many US, Europe and Asia based corporate clients with global operations.
- Mario has extensive experience in designing and implementing international structures for multinational companies in different areas.
- Mario is Innovation Ambassador for RSM Netherlands and part of the RSM International Innovation team. As such, Mario is responsible for larger transformation projects at internationally active companies that are aiming to transform their global tax departments.

# THE POWER OF BEING UNDERSTOOD

AUDIT | TAX | CONSULTING