



Helping you protect your assets, your reputation and your future.

GLOBAL RISK ADVISORY SERVICES

- Anti-money laundering and regulatory compliance
- Business continuity and disaster recovery
- Cyber security and data privacy
- ERP controls, security and implementation risk
- Fraud prevention, investigation and forensic accounting services
- Governance, risk, compliance and enterprise risk management
- Internal audit and controls
- IT risk consulting
- Process automation and data analytics
- Systems and process assurance
- Third-party risk

RISK ADVISORY SERVICES

Global capabilities

THE POWER OF BEING UNDERSTOOD
AUDIT | TAX | CONSULTING



HOW RSM CAN HELP YOUR ORGANISATION

GOVERNANCE, RISK, COMPLIANCE (GRC) AND ENTERPRISE RISK MANAGEMENT (ERM)

Issue: There are a number of risks that can arise from inadequate or failed internal processes, people and systems or from external events – all of which can result in financial, reputational or legal loss.

Solution: RSM's GRC and ERM consulting teams can assist you in adopting an enterprise-wide approach to analysing and prioritising risks and aligning them with your strategic goals.

BUSINESS CONTINUITY AND DISASTER RECOVERY

Issue: Natural disasters can be devastating to organisations but even a lightning strike from a normal storm can disable key resources and disrupt business.

Solution: RSM's professionals have helped organisations develop plans that allow them to continue important business operations and recover facilities, technology and other critical resources following natural, technical or man-made disasters.

GDPR

Issue: All too often, businesses decline a full vulnerability scan in fear of what might be found. Fines for non-compliance of up to €20m or 4% of annual global turnover could be imposed.

Solution: Through robust analysis RSM can identify any risks and implement processes and systems to help you ensure compliance, and then provide the evidence to prove it.

CYBER SECURITY

Issue: Just one hack can have tremendous financial, reputational and regulatory consequences for any organisation. The number of connected devices, cyber-attacks, hackers, and the sophistication of the techniques used are increasing every year.

Solution: RSM can mitigate the risk of a cyber-attack through breach-readiness preparation, governance, frameworks, penetration testing, cyber exposure testing, digital footprint mapping and ethical phishing exercises to test your staff, raise awareness, and to educate your C-suite.

FRAUD PREVENTION AND INVESTIGATION

Issue: In addition to fraud and crime, organisations are also experiencing disputes and professional negligence claims.

Solution: Risk management professionals at RSM can provide solutions to meet the legal standards required for criminal prosecutions, civil recovery or disciplinary sanctions around the globe.

DATA ANALYTICS

Issue: Organisations are now saturated with data. Identifying what data is needed for specific analytics processes is challenging and requires skilled and experienced technical support.

Solution: RSM's experts can help harness data to your advantage; applying data analytics to achieve specific objectives. RSM's services range from the strategic 'big picture' to hands-on, technical support – and from project-oriented implementation services to ongoing guidance for complex and comprehensive solutions.

INTERNAL AUDIT AND CONTROLS

Issue: Business leaders need objective insight into the efficiency of policies, procedures, and require assurance that they are mitigating their organisation's unique risks.

Solution: RSM's internal audit professionals can advise you on how to manage core operational risks; especially those related to the reliability of business operations. RSM can evaluate the key structures, dynamics and processes required to underpin effective corporate governance.

REGULATORY COMPLIANCE

Issue: The penalties for non-compliance with Sarbanes-Oxley are up to \$1m – not to mention reputational loss and even incarceration.

Solution: RSM's sustainable, top-down, risk-based SOX compliance programme can be utilised to reduce costs and improve efficiency.

RESILIENCE

Issue: Organisations are required to provide mandatory reports to local regulators relating to their risk profile and resiliency.

Solution: RSM can develop a stress test to help you ensure adequate quantification, control and mitigation of risks – so you can avoid future penalties and fully comply with the legal framework.

IT RISK

Issue: Emerging technology investments, from mobility to big data change processes, introduce new IT risks.

Solution: RSM's experienced technology risk consultants understand how your environment is changing and can help you take advantage of new technology while managing the associated risks.

ANTI-MONEY LAUNDERING (AML)

Issue: AML programmes need to adapt as financial institutions grow – both organically and through acquisition.

Solution: RSM can help you identify where your AML programme needs to change to take it from its current to future state.

RSM'S GLOBAL RISK CONSULTING COMMITTEE



Peter Brady
North America
peter.brady@rsmus.com



Richard Smith
UK & Africa
richard.smith@rsmuk.com



Dr Oliver Bungartz
Europe
oliver.bungartz@rsm.de



Jean-Marc Imbert
Asia Pacific
jeanmarc.imbert@rsm.com.au



Marcelo Conti
Latin America
marcelo.conti@rsmbr.com



Rami Wadie
MENA
rami.wadie@rsm.com.kw

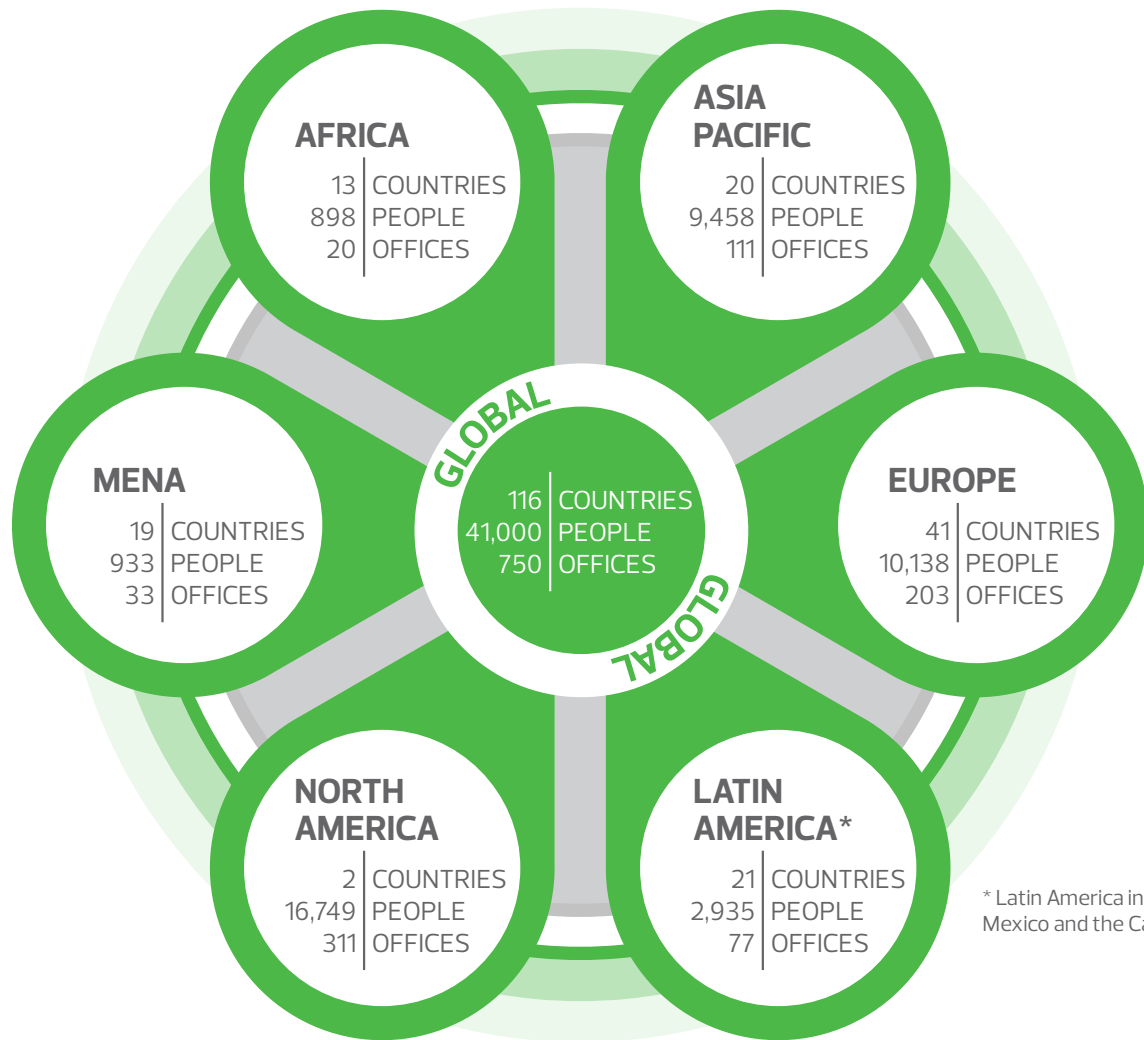
ABOUT RSM

RSM is one of the world's leading audit, tax and consulting networks. We build strong relationships based on a deep understanding of what matters most to you. We take the time to understand your business, strategies and goals, and draw upon the power of our global network to deliver insights tailored to your precise needs.

We specialise in helping you identify and manage your risks and, in the event that risks become a reality, help you deal with the consequences. To enhance the value that you deliver to your stakeholders, we can assist you in protecting your organisation and its success.

By sharing the ideas of our senior professionals, we empower you to move forward, make critical decisions with confidence and take full advantage of the opportunities on the horizon for your business.

Wherever you are in the world, you will receive the same seamless service that combines astute local knowledge with the global expertise of our senior advisors. We operate in 116 countries, across 750 offices, and have a combined total of over 41,000 staff and partners.



* Latin America includes Mexico and the Caribbean

RSM Global Executive Office

50 Cannon Street
 London
 EC4N 6JJ
 United Kingdom

T: +44 (0) 20 7601 1080

E: riskadvisory@rsm.global

rsm.global

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